

BROOKINGS



**S4D Working Group on Kleptocracy and Illicit Finance Dialogue 2023**  
**9 February 2023**  
**8:45am – Noon ET**  
**(Venue: Global On-Line ZOOM)**

Dialogue II: Investigating and Prosecuting Kleptocrats and Complicit Enablers

**DRAFT PROGRAM – 2 February 2023**

**Co-Hosted:** TraCCC-AITI, Brookings Institution, Coalition for Integrity

**Opening Keynote Session:**

- **Brendan T Boundy**, U.S. Deputy Coordinator for Global Anti-Corruption, Department of State
- **Ambassador Norm Eisen** (Ret.), Co-Chair, S4D Democracy Cohort for Financial Transparency and Integrity, Brookings Institution
- **Dr. Louise I Shelley**, Director, Terrorism, Transnational Crime and Corruption Center (TraCCC), George Mason University

Chair: **David M. Luna**, Co-Director, Anti-Illicit Trade Institute (AITI), TraCCC, GMU

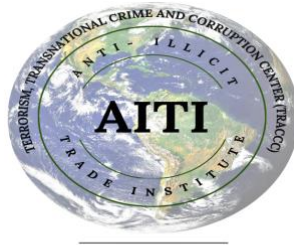
**Session 1: Strengthening Cooperation to Investigate Kleptocracies and Seizing Assets**

Moderator: **Jim Wright**, AML Instructor; Former Financial Advisor, U.S. Treasury OTA

Panelists:

- **Debra LaPrevotte**, Senior Investigator, The Sentry; Former Supervisory Special Agent, Federal Bureau of Investigation (FBI), DOJ
- **David Lewis**, Former Executive Secretary, Financial Action Task Force (FATF), Managing Director, Global Head of AML Advisory, Forensic Investigations and Intelligence, Kroll
- **Drew Sullivan**, Co-Founder and Publisher, Organized Crime and Corruption Report Project (OCCRP)

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## **Session 2: Judicial Action, Information-Sharing and Prosecutions**

Moderator: **Jonathan J. Rusch**, Director, U.S. and International Anti-Corruption Law Program and Adjunct Professor, Washington College of Law American University; Former Federal Prosecutor, Fraud Section, Criminal Division, U.S. Department of Justice

Panelists:

- **Mary Butler**, Chief of the International Unit of the DOJ Money Laundering and Asset Recovery Section, U.S. Department of Justice
- **Jeffrey Coleman**, Supervisory Special Agent, FBI (assigned to the International Anti-Corruption Coordination Centre)
- **Tess Davis**, Executive Director, Antiquities Coalition

## **Session 3: Defending the Defenders and Collective Action**

Moderator: **Shruti Shah**, President & CEO, Coalition for Integrity

Panelists:

- **Jen Lewis**, Deputy Executive Director, Anticorruption Task Force, USAID
- **Samantha Feinstein**, Government Accountability Project
- **Gypsy Guillén Kaiser**, Committee to Protect Journalists
- **Khadija Sharife**, Senior Investigator, OCCRP

## **Discussion and Closing Session**

Chair: **Dr. Layla Hashemi**, TraCCC, GMU

- Strategic Observation and Intervention by **Frank Vogl**, Board Chair, Partnership for Transparency Fund (PTF)

Pre-register for S4D Dialogue II WG Kleptocracy and Illicit Finance

**Thursday, 9 February 2023, 845am-Noon (ET):**

[https://gmu.zoom.us/meeting/register/tJlvf-6gpj4sGNKKagomZdB7MXue\\_foFayDD](https://gmu.zoom.us/meeting/register/tJlvf-6gpj4sGNKKagomZdB7MXue_foFayDD)

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## About the S4D Working Group on Kleptocracy and Illicit Finance

The S4D Working Group (WG) was created in September 2021 by the Anti-Illicit Trade Institute (AITI) at the Terrorism, Transnational Crime and Corruption Center (TraCCC), George Mason University, as a civil society-driven dialogue to fight corruption and money laundering to defend democracy against authoritarianism, confront hubs of illicit trade, and safeguard human rights and security.

The focused mission of the WG has been to mobilize energies, ideas, and actions among interested civil society and USG partners ensure that the fight against kleptocracy and illicit finance remains a high priority within the Summit of Democracy diplomatic initiative and participating governments' S4D commitments.

In 2022-2023, there is emerging consensus by the group to complement related work across the S4D Democracy Cohorts with a more robust law enforcement approaches and technical assistance to fight kleptocracies and their dirty money. Members also aim to identify ways to align other efforts to address beneficial ownership related to high-level corrupt officials and their enablers. Members are committed to using the convening power of the WG to optimize partnerships with the USG and other governments to ensure that S4D commitments are implemented and technical expertise is leveraged to undertake more cross-border law enforcement and asset recovery efforts. **The S4D WG is closely coordinating with S4D Financial Transparency and Integrity Cohort and other S4D Democracy Cohorts working on Anti-Corruption.**

The S4D Working Group (WG) seeks to advance a whole-of-society approach to combat kleptocracy and illicit finance including by submitting a brief executive WG 2023 report and recommended actions to the USG and S4D community of interest that enhances greater cross-border law enforcement cooperation to investigate and prosecute kleptocracy.

Learn more about the S4D WG on Kleptocracy and Illicit Finance's 2021 Dialogue: <https://tracc.gmu.edu/wp-content/uploads/2021/12/TraCCC-AITI-6-December-2021-Report-for-S4D-Event-on-Authoritarian-States-Illicit-Finance-and-Kleptocracy-Threats-to-Democracy-Peace-and-Security.pdf>.